

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
5 December 2002 (05.12.2002)

PCT

(10) International Publication Number  
**WO 02/098074 A2**

(51) International Patent Classification<sup>7</sup>: **H04L 12/56**

(21) International Application Number: **PCT/GB02/02530**

(22) International Filing Date: 29 May 2002 (29.05.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
0112955.0 29 May 2001 (29.05.2001) GB

(71) Applicant (for all designated States except US): **MARCONI COMMUNICATIONS LIMITED [GB/GB]**; New Century Park, P.O. Box 53, Coventry CV3 1HJ (GB).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **MEESE, Rupert** [GB/GB]; 10 Wilne Road, Draycott, Derby DE72 3NG (GB).

(74) Agent: **COLLIER, Ian, Terry**; Marconi Intellectual Property, Marable House, The Vineyards, Great Baddow, Chelmsford, Essex CM2 7QS (GB).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

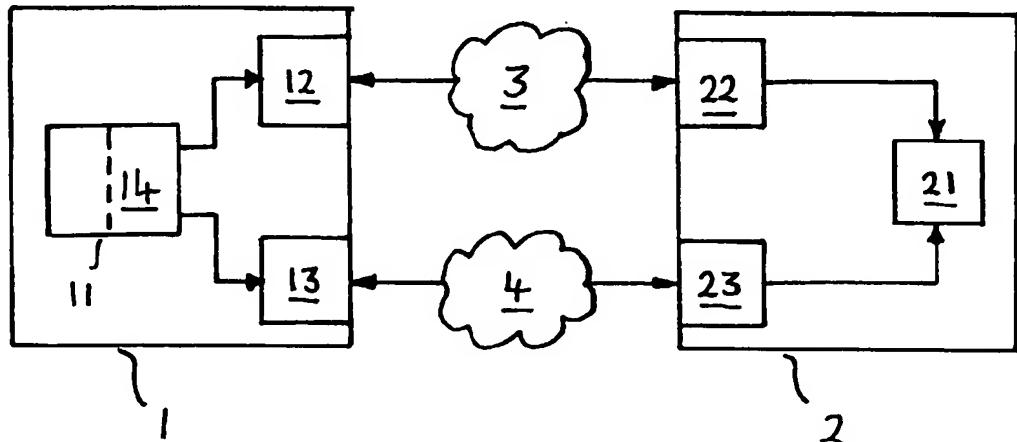
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SIGNAL ROUTE SELECTOR AND METHOD OF SIGNAL ROUTING



WO 02/098074 A2

(57) Abstract: A signal route selector (14) for routing a signal between a source (1) and a destination (2, 5, 6) over one of a plurality of alternative routes is disclosed. The signal route selector comprises a response monitor (17) for determining whether transmissions between the source and destination succeed or fail on a selected route, means (18) for maintaining a record of the successes and failures for the routes and making a determination of the probability that a transmission will succeed on any route, and route selection means (15, 16) for selecting a route for a transmission according to the probabilities of success for the alternative routes.

**SIGNAL ROUTE SELECTOR AND METHOD OF SIGNAL ROUTING**

The present invention relates to a signal route selector for, and a method of, routing a signal along one of a plurality of alternative routes between a signal source and a signal destination.

5    The invention the invention is especially suitable for transmission systems in which the interconnecting networks between a signal source and a signal destination are duplicated in order to make the system fault-tolerant.

According to the present invention there is provided a signal route selector for routing a  
10   signal between a source and a destination, there being a plurality of alternative routes  
between the source and the destination, the route selector being characterised by: means  
for determining whether transmissions between the source and destination succeed or  
fail, means for maintaining a record of the successes and failures for the routes and  
means for selecting a route for a transmission according to the record of successes and  
15   failures for the routes.

Preferably, the signal route selector, in operation, awards probability values of  
successful transmission to the routes according to the record of the successes and  
failures for the routes and selects a route according to the awarded probability values.

20

Preferably, the signal route selector, in operation, awards probability values ranging  
between a first limit value for repeated success and a second limit value for repeated  
failure.

Preferably, the signal route selector, in operation, awards probability values including a normal value lying substantially halfway between the limit values for repeated successes on all of the routes, for a selected ratio of usage of the routes.

- 5 In one arrangement, the signal route selector, in operation, selects the ratio of the usage of the routes as equal usage of the routes.

Preferably, the signal route selector so operates that the normal value remains the same for a range of values of a variable derived from the successes and failures.

10

Preferably, the signal route selector so operates that a route which is awarded a limit value is selected from time to time according to the awarded probability value.

- 15 Preferably, the signal route selector so operates that selecting a route for transmission follows awarding a probability of success  $f(s)$  in accordance with

$$f(s) = 1 - 2^{-s} \text{ for } s = 1, 2, \dots, n,$$

$$f(s) = \frac{1}{2} \text{ for } s = 0,$$

$$f(s) = 2^s \text{ for } s = -1, -2, \dots, -n,$$

which is discontinuous,

- 20 where  $s$  is a variable which is always an integer and is derived from the successes and failures of transmission attempts as follows:

if the attempt to use a first transmission network succeeded, make  $s = s + 1$ , if  $s < 0$ ,

if the attempt to use an alternative transmission network succeeded, make  $s = s - 1$ , if  $s > 0$ ,

if the attempt to use the first transmission network failed, make  $s = s - 1$ , if  $|s| < aslimit1$ ,

if the attempt to use the alternative transmission network failed, make  $s = s + 1$ , if  $|s| < aslimit2$ .

5

According to a second aspect of the invention there is provided a method of routing a signal along one of a plurality of alternative routes between a source and a destination, the method characterised by: determining whether transmissions between the source and destination succeed or fail, maintaining a record of the successes and failures for the 10 routes and selecting a route for a transmission according to the record of successes and failures for the routes.

Preferably, the method further comprises awarding probability values of successful transmission to the routes according to the record of the successes and failures for the 15 routes, and selecting a route according to the awarded probability values.

Preferably, the probability values range between a first limit value for repeated success and a second limit value for repeated failure.

20 Preferably, the probability values include a normal value lying substantially halfway between the limit values for repeated successes on all of the routes, for a selected ratio of usage of the routes.

In one arrangement, the selected ratio of the usage of the routes is equal usage of the routes.

Preferably, the normal value remains the same for a range of values of a variable

- 5 derived from the successes and failures.

Preferably, a route which is awarded a limit value is selected from time to time according to the awarded probability value.

- 10 Preferably, the method comprises selecting a route for transmission follows awarding a probability of success  $f(s)$  in accordance with

$$f(s) = 1 - 2^{-s} \text{ for } s = 1, 2, \dots, n,$$

$$f(s) = \frac{1}{2} \text{ for } s = 0,$$

$$f(s) = 2^s \text{ for } s = -1, -2, \dots, -n,$$

- 15 which is discontinuous, where  $s$  is a variable which is always an integer and is derived from the successes and failures of transmission attempts as follows:

if the attempt to use a first transmission network succeeded, make  $s = s + 1$ , if  $s < 0$ ,

if the attempt to use an alternative transmission network succeeded, make  $s = s - 1$ , if  $s > 0$ ,

- 20 if the attempt to use the first transmission network failed, make  $s = s - 1$ , if  $|s| < aslimit1$ ,

if the attempt to use the alternative transmission network failed, make  $s = s + 1$ , if  $|s| < aslimit2$ .

A route selector and a method of signal routing in accordance with the invention will now be described, by way of example only, with reference to the accompanying drawings in which:

5 Figure 1 shows a signal source including a route selector in accordance with the invention connected by way of two connection networks to a signal destination,

Figure 2 shows a schematic representation of a signal route selector in accordance with the invention,

10

Figure 3 shows a signal source including a route selector in accordance with the invention connected by way of two connection networks to a signal destination which has a defective element,

15 Figure 4 shows a signal source including a route selector in accordance with the invention connected by way of two connection networks, parts of which are defective, to two signal destinations and

20 Figure 5 is a graphical representation of the probability that the route selector will choose a particular one of the available routes for a signal transmission.

Referring to Figure 1 there is shown a signal source 1 connected by way of a first connection network 3 and by way of a second, alternative, connection network 4 to a signal destination 2.

The signal source 1 includes a transmit-unit 11 which is connected to a first bridge circuit 12 and to a second bridge circuit 13. The signal destination 2 includes a first receive-unit 21 which is connected to a third bridge circuit 22 and to a fourth bridge circuit 23. The first bridge circuit 12 is connected to the third bridge circuit 22 by way of the first connection network 3 and the second bridge circuit 13 is connected to the fourth bridge circuit 23 by way of the second connection network 4. The connection networks 3 and 4 provide bi-directional communication between the source and destination. Consequently the source 1 will also include a respective receive-unit and the signal destination 2 will also include a respective transmit-unit. For ease of describing the invention only component necessary for a single direction of communication from the source to the destination are described and illustrated in the accompanying drawings.

15 A route selector 14 is included in the transmit-unit 11 of the signal source 1 and is operable to control routing of signals over the alternative connection networks (hereinafter termed routes) between the source and destination. Referring to Figure 2 there is shown a schematic representation of a signal route selector 14 in accordance with the invention. The signal router 14 comprises a selector 15 operable for selectively routing the signal from the transmit-unit to the selected route, a control unit 16 for controlling operation of the selector 15, a response monitor 16 for monitoring responses sent by the destination to the source and memory 18. The response monitor 17 is connected to the control unit 16 and is operable to provide data to the control unit indicative of whether transmission over the selected route has been successfully

received by the receive unit. The memory 18 is operable to maintain a record of the number of successes and failures for each of the alternative routes. Although in Figure 2 the signal route selector 14 is represented as being separate to the transmit-unit, in practice the route selector can be implemented as an algorithm within the transmit-unit.

5 The response monitor 16 conveniently monitors response using proprietary communication protocols such as those present within IEEE 1394 networks, Ethernet networks or TCP protocols.

Referring again to Figure 1, in operation, the transmit-unit 11 of the signal source 1  
10 transmits signals to the first receive-unit 21 of the signal destination 2 by way of the first bridge circuit 12, the first connection network 3 and the third bridge circuit 22 and, provided that the signals reach the first receive-unit 21, receives responses from the first receive-unit 21 by the same signal route. Alternatively, the first transmit-unit 11 of the signal source 1 transmits signals to the first receive-unit 21 of the signal destination 2 by  
15 way of the second bridge circuit 13, the second connection network 4 and the fourth bridge circuit 23 and, provided that the signals reach the first receive-unit 21, receives responses by the same signal route which are detected by the response monitor 17. A specified period is allowed for the receipt of a response to a signal and the absence of a response within the specified period is noted by the response monitor as a failure of the  
20 signal route used.

The control circuit 16 of the route selector 14 selects either the first connection network 3 or the second connection network 4 for use in transmitting a signal between the signal source 1 and the signal destination 2. The selection is made on the basis of the record of

successful previous attempts to send signals over a particular route (network connection) which are determined using the response monitor 17 and which are stored in the memory 18.

5 The route selector 14, more particularly the control unit 16, so operates that the probability of the selection of the route by way of the first bridge circuit 12, the first connection network 3 and the third bridge circuit 22 is expressed as a discontinuous function  $f(s)$  which is defined as follows:

$$f(s) = 1 - 2^s \text{ for } s = 1, 2, \dots n$$

10  $f(s) = 1/2 \text{ for } s = 0$

$$f(s) = 2^s \text{ for } s = -1, -2, \dots -n,$$

where  $s$  is always an integer.

The function  $f(s)$  is illustrated in Figure 5 for a range  $s = -4$  to  $s = 5$ , both limits included, including the discontinuous regions AB, BC and CD.

As is evident from Figure 5, the value of  $f(s)$  is  $\frac{1}{2}$  for the values of  $s$  in the region of 1, 0 and -1 and  $f(s)$  has a first asymptotic limit (aslimit1) value of 1 for large and positive  $s$  and a second asymptotic limit (aslimit2) value of 0 for large and negatives.

20

In the operation, following each attempt at a transmission through the transmission network 3, the probability of the next transmission being routed through the first transmission network 3 is adjusted according to whether or not the attempt succeeded. On a failed attempt at transmission through the transmission network 3, an attempt is

made to use the second transmission network 4. The existing value of s is modified as follows according to the results:

- if the attempt to use transmission network 3 succeeded, make  $s = s + 1$ , if  $s < 0$ ,
- if the attempt to use transmission network 4 succeeded, make  $s = s - 1$ , if  $s > 0$ ,
- 5 if the attempt to use transmission network 3 failed, make  $s = s - 1$ , if  $|s| < aslimit1$ ,
  - if the attempt to use transmission network 4 failed, make  $s = s + 1$ , if  $|s| < aslimit2$ .
- 10 A successful attempt to use the transmission network 3 (Figure 1) results in the probability value moving in the positive direction of s when the value of s lies in the region BC (Figure 5) at which  $f(s) = \frac{1}{2}$  and a successful attempt to use transmission network 4 (Figure 1), following a failure on transmission network 3 (Figure 1), results in the probability value moving in the negative direction of s when the value of s lies in
- 15 the region BC (Figure 5) at which  $f(s) = \frac{1}{2}$ . A failed attempt to use transmission network 3 Figure 1 when the value of s lies in the region CD (Figure 5) at which  $\frac{1}{2} < f(s) < 1$  results in the probability value moving in the negative direction of s, thereby reducing the value of  $f(s)$ . A failed attempt to use transmission network 4 (Figure 1) when the value of s lies in the region AB (Figure 5) at which  $0 < f(s) < \frac{1}{2}$  results in the
- 20 probability value moving in the positive direction of s, thereby increasing the value of  $f(s)$ .

In respect of Figures 1 and 5, the response monitor 17 monitors the responses to signals transmitted and detects failures to obtain a response. Each failure causes the control unit

16 to move the selection preference towards the alternative connection network and an attempt to transmit is made on the alternative network. Each successful attempt moves the preference back towards the neutral ( $s = 0$ ) position. The movement has a limit and, even with a long-standing failure of a connection network, a small number of attempts  
5 are still made on the failed connection network. The arrangement thus allows the signal source 1, under the influence of the route selector 14, to respond autonomously to the recovery of a failed network and to maintain a balanced demand on networks even in the presence of multiple failures.

10 When both the first connection network 3 and the second connection network 4 are operational, the two connection networks will receive, more or less, equal numbers of attempts at transmitting signals between the signal source 1 and the signal destination 2 and that situation persists for as long as each network meets all of the demands made of it.

15

Referring now to Figure 3 there is shown the signal source 1 of Figure 1 connected, by way of the connection networks 3 and 4, to an alternative signal destination 5 which includes a fifth bridge circuit 52, a sixth bridge circuit 53, and receive-units 54 and 55 respectively.

20

Figure 3 shows the receive-unit 54 as being defective (this is indicated by a cross) and the result is that the response monitor 17 within the signal source 1 records failed attempts on both the connection networks 3 and 4 when the defective receive-unit 54 is the required destination.

Applying the probability function illustrated in Figure 5, the value of s moves principally between -1 and 0 or 0 and 1, during which movements the value of f(s) remains substantially at  $\frac{1}{2}$ , resulting in substantially balanced use of the connection  
5 networks 3 and 4.

Reference is made to Figure 4 which shows the signal source 1 of Figure 1 connected, by way of branched connection networks 7 and 8, to the signal destination 2 of Figure 1 and to a second signal destination 6 which includes a seventh bridge circuit 62, an  
10 eighth bridge circuit 63 and a respective receive-unit 61.

The branded connection network 7 connects the first bridge circuit 12 of the signal source 1 to the third bridge circuit 22 of the signal destination 2 and to the seventh bridge circuit 62 of the second signal destination 6. The connection network 8 connects  
15 the second bridge circuit 13 of the signal source 1 to the fourth bridge circuit 23 of the signal destination 2 and to the eighth bridge circuit 63 of the second signal destination 6.

As shown in Figure 4, the connection network 7 has a defect (indicated by a cross) between first the bridge circuit 12 and the third bridge circuit 22 while the connection network 8 has a defect (indicated by a cross) between the second bridge circuit 13 and  
20 the eighth bridge circuit 63.

In a situation where the transmit-unit 11 attempts to transmit to the first receive-unit 21 every 10 milliseconds and attempts to transmit to the fourth receive-unit 61 every second and is successful in substantially all attempts, then, between the attempts to

transmit to the fourth receive-unit 61, the value of the function  $f(s)$  moves on to the region CD of Figure 5 in favour of the second bridge circuit 13 of the signal source 1. When, in due course, the next attempt is made to transmit to the fourth receive-unit 61, the probability is that the second bridge circuit 13 of the signal source 1 is selected

5 initially on the basis of the existing value of  $f(s)$ . The initial attempt fails as a result of the presence of the defective connection network 8 between the second bridge circuit 13 and the eighth bridge circuit 63. Following the failure, an attempt is made to use the first bridge circuit 12 and the connection network 7 and is successful.

10 In respect of the situation shown in Figure 4, where the signal source 1 attempts to transmit to both the receive-unit 21 in the signal destination 2 and receive-unit 61 in the second signal destination 6 in the face of the connection network failures shown, the performance of the signal source 1, under the influence of the route selector 14 is such as to favour the more frequently accessed unit in a signal destination. The overall effect

15 is that the throughput is adaptively optimised to the current conditions.

A route selector 14 as included in the signal source 1 provides the following results:

• It protects against silent (undetected) failure of either connection network of a

20 pair of alternative connection networks.

• It maintains throughput under failure of either of two alternative connection networks.

- It responds automatically to the restoration of a failed connection network.
- It responds quickly to the restoration of either of two connection networks following the failure of both networks.

5

- It is resilient to failures outside the connection networks, for example, the failure of a receiver-unit.
- It maintains a balanced through put during partial failures of both of two connection networks.

10

**CLAIMS**

1. A signal route selector (14) for routing a signal between a source (1) and a destination (2, 5, 6), there being a plurality of alternative routes (3, 4; 7, 8) between the source and the destination the route selector characterised by: means (17) for determining whether transmissions between the source and destination succeed or fail, means (18) for maintaining a record of the successes and failures for the routes and means (15, 16) for selecting a route for a transmission according to the record of successes and failures for the routes.
2. A signal route selector as claimed in Claim 1, which, in operation, awards probability values of successful transmission to the routes according to the record of the successes and failures for the routes and selects a route according to the awarded probability values.
3. A signal route selector as claimed in Claim 2, which, in operation, awards probability values ranging between a first limit value for repeated success and a second limit value for repeated failure.
4. A signal route selector as claimed in Claim 3, which, in operation, awards probability values including a normal value lying substantially halfway between the limit values for repeated successes on all of the routes, for a selected ratio of usage of the routes.

5. A signal route selector as claimed in Claim 4, which, in operation, selects the ratio of the usage of the routes as equal usage of the routes.
6. A signal route selector as claimed in Claim 4 or Claim 5, which so operates that the normal value remains the same for a range of values of a variable derived from the successes and failures.
7. A signal route selector as claimed in any one of Claims 1 to 6, which so operates that a route which is awarded a limit value is selected from time to time according to the awarded probability value.
8. A signal route selector as claimed in any one of Claims 1 to 7, which so operates that selecting a route for transmission follows awarding a probability of success  $f(s)$  in accordance with

$$f(s) = 1 - 2^{-s} \text{ for } s = 1, 2, \dots, n,$$

$$f(s) = \frac{1}{2} \text{ for } s = 0,$$

$$f(s) = 2^s \text{ for } s = -1, -2, \dots, -n,$$

which is discontinuous,

where  $s$  is a variable which is always an integer and is derived from the successes and failures of transmission attempts as follows:

if the attempt to use a first transmission network succeeded, make  $s = s + 1$ , if  $s < 0$ ,

if the attempt to use an alternative transmission network succeeded, make  $s = s - 1$ ,

if  $s > 0$ ,

if the attempt to use the first transmission network failed, make  $s = s - 1$ , if  $|s| < aslimit1$ ,

if the attempt to use the alternative transmission network failed, make  $s = s + 1$ , if  $|s| < aslimit2$ .

9. A method of routing a signal along one of a plurality of alternative routes between a source and a destination, including the steps of: determining whether transmissions between the source and destination succeed or fail, maintaining a record of the successes and failures for the routes and selecting a route for a transmission according to the record of successes and failures for the routes.
10. A method as claimed in Claim 9, and comprising awarding probability values of successful transmission to the routes according to the record of the successes and failures for the routes, and selecting a route according to the awarded probability values.
11. A method as claimed in Claim 10, in which the probability values range between a first limit value for repeated success and a second limit value for repeated failure.
12. A method as claimed in Claim 11, in which the probability values include a normal value lying substantially halfway between the limit values for repeated successes on all of the routes, for a selected ratio of usage of the routes.

13. A method as claimed in Claim 12, in which the selected ratio of the usage of the routes is equal usage of the routes.
14. A method as claimed in Claim 12 or Claim 13, in which the normal value remains the same for a range of values of a variable derived from the successes and failures.
15. A method as claimed in any one of Claims 10 to 14, in which a route which is awarded a limit value is selected from time to time according to the awarded probability value.
16. A method as claimed in any one of Claims 9 to 15, in which selecting a route for transmission follows awarding a probability of success  $f(s)$  in accordance with
$$f(s) = 1 - 2^{-s} \text{ for } s = 1, 2, \dots, n,$$
$$f(s) = \frac{1}{2} \text{ for } s = 0,$$
$$f(s) = 2^s \text{ for } s = -1, -2, \dots, -n,$$
which is discontinuous,  
where  $s$  is a variable which is always an integer and is derived from the successes and failures of transmission attempts as follows:  
if the attempt to use a first transmission network succeeded, make  $s = s + 1$ , if  $s < 0$ ,  
if the attempt to use an alternative transmission network succeeded, make  $s = s - 1$ ,  
if  $s > 0$ ,  
if the attempt to use the first transmission network failed, make  $s = s - 1$ , if  $|s| <$  aslimit1,

if the attempt to use the alternative transmission network failed, make  $s = s + 1$ , if  $|s| < \text{aslimit2}$ .

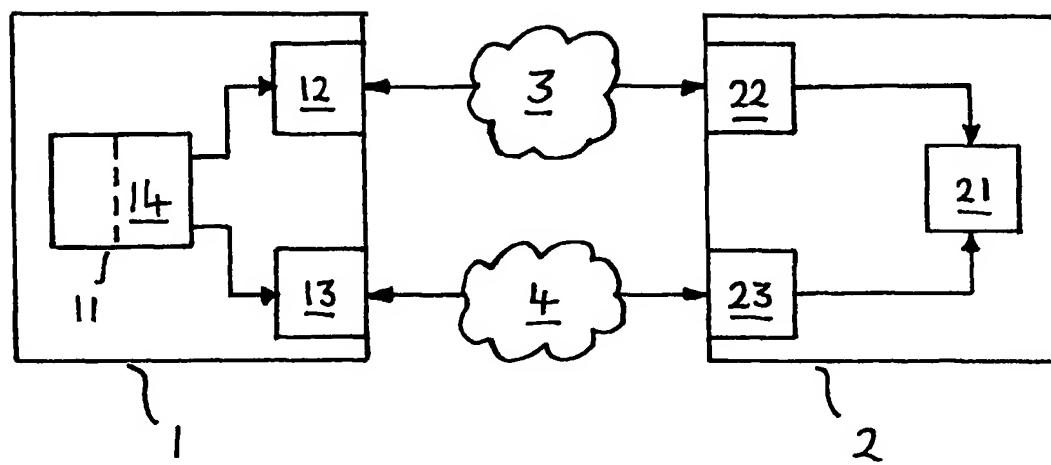


Figure 1

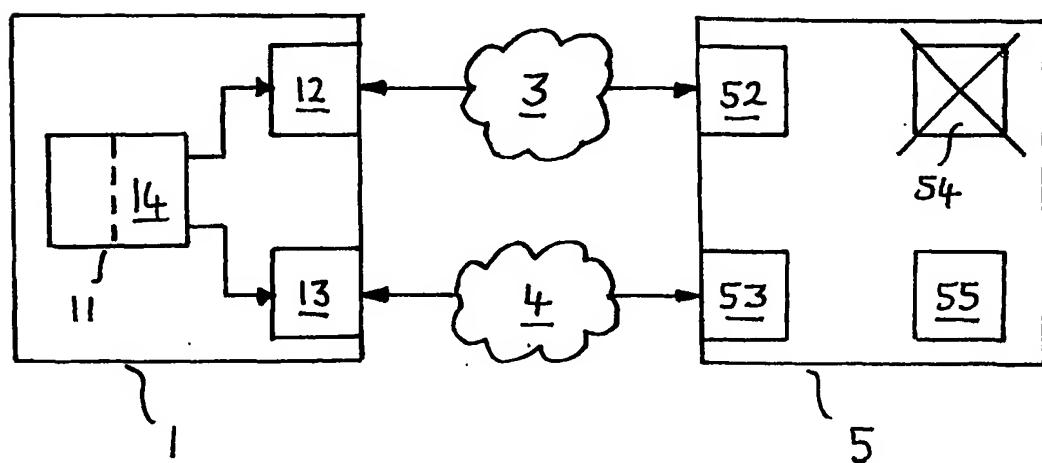
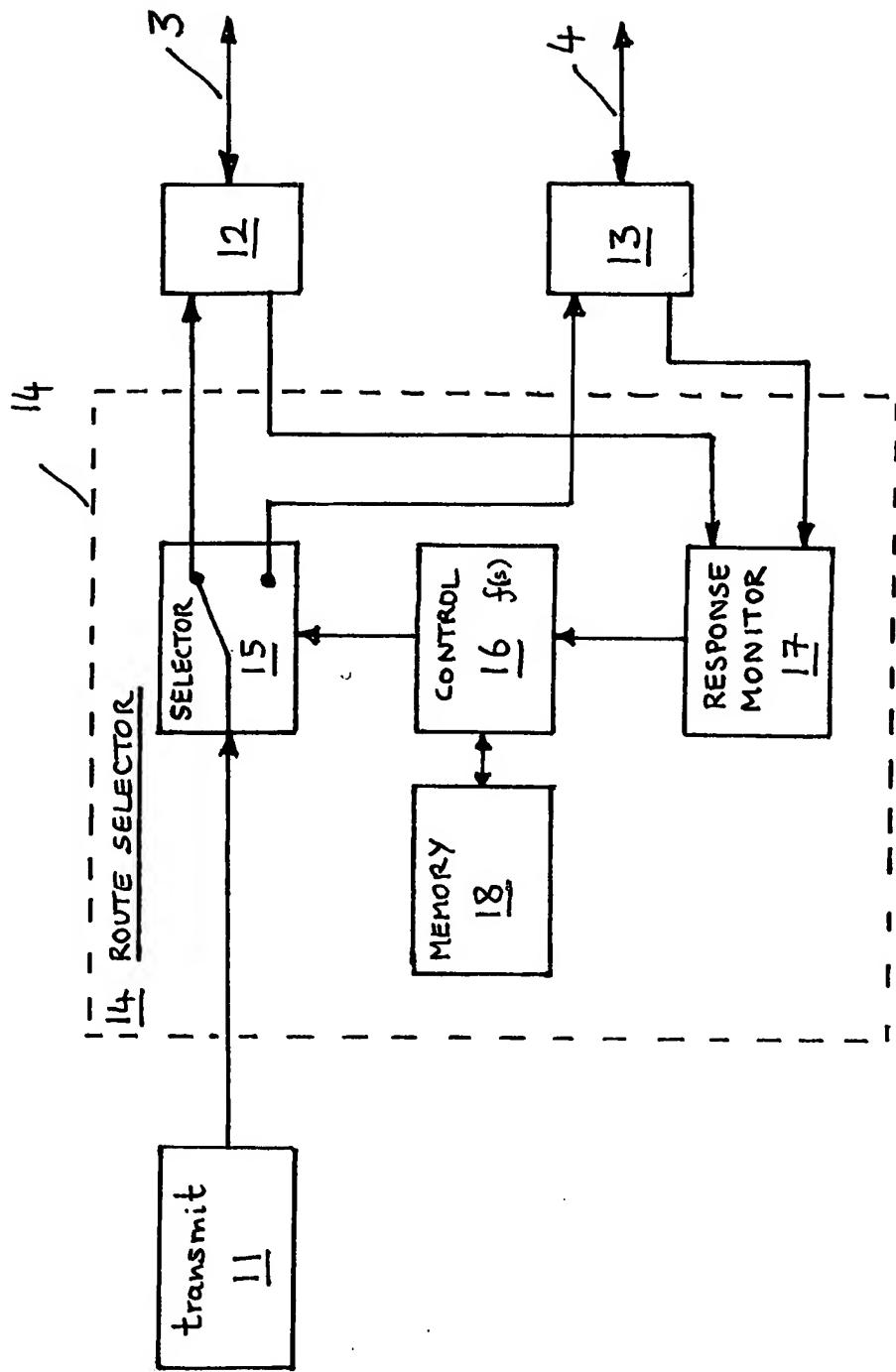


Figure 3

Figure 2



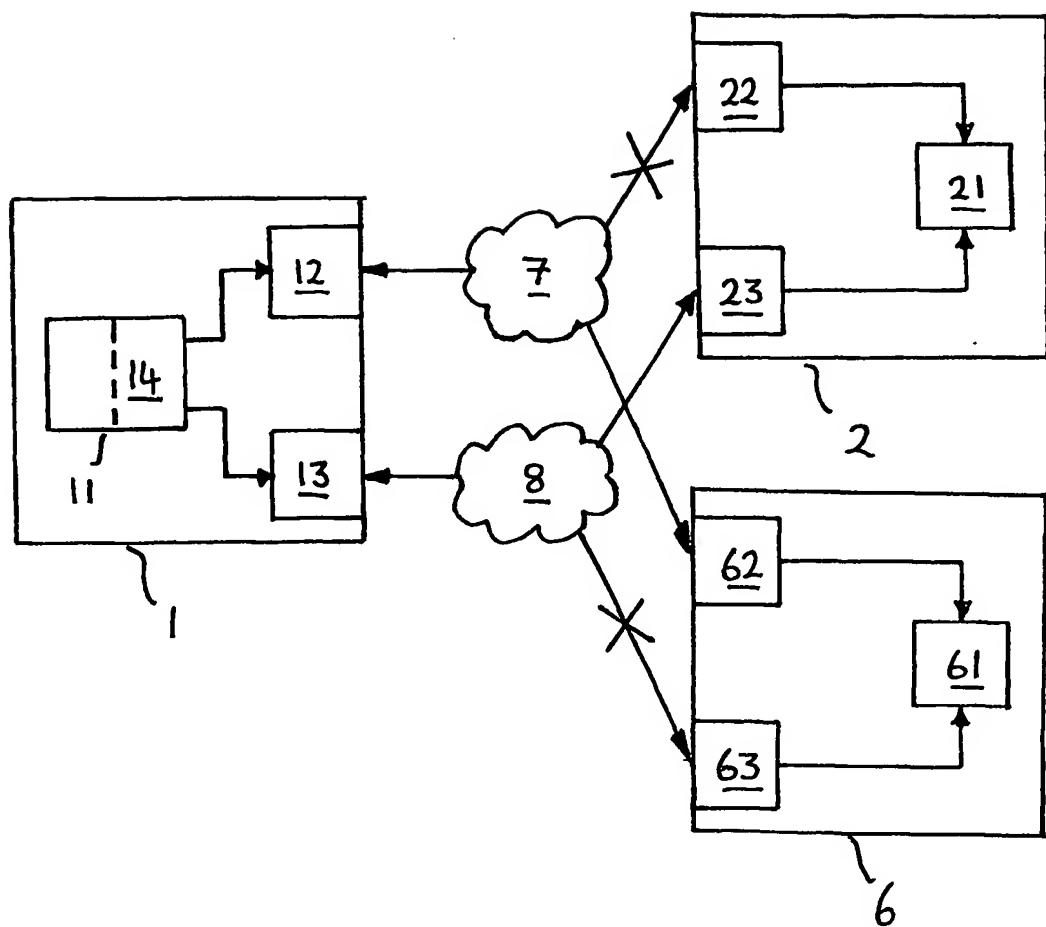


Figure 4

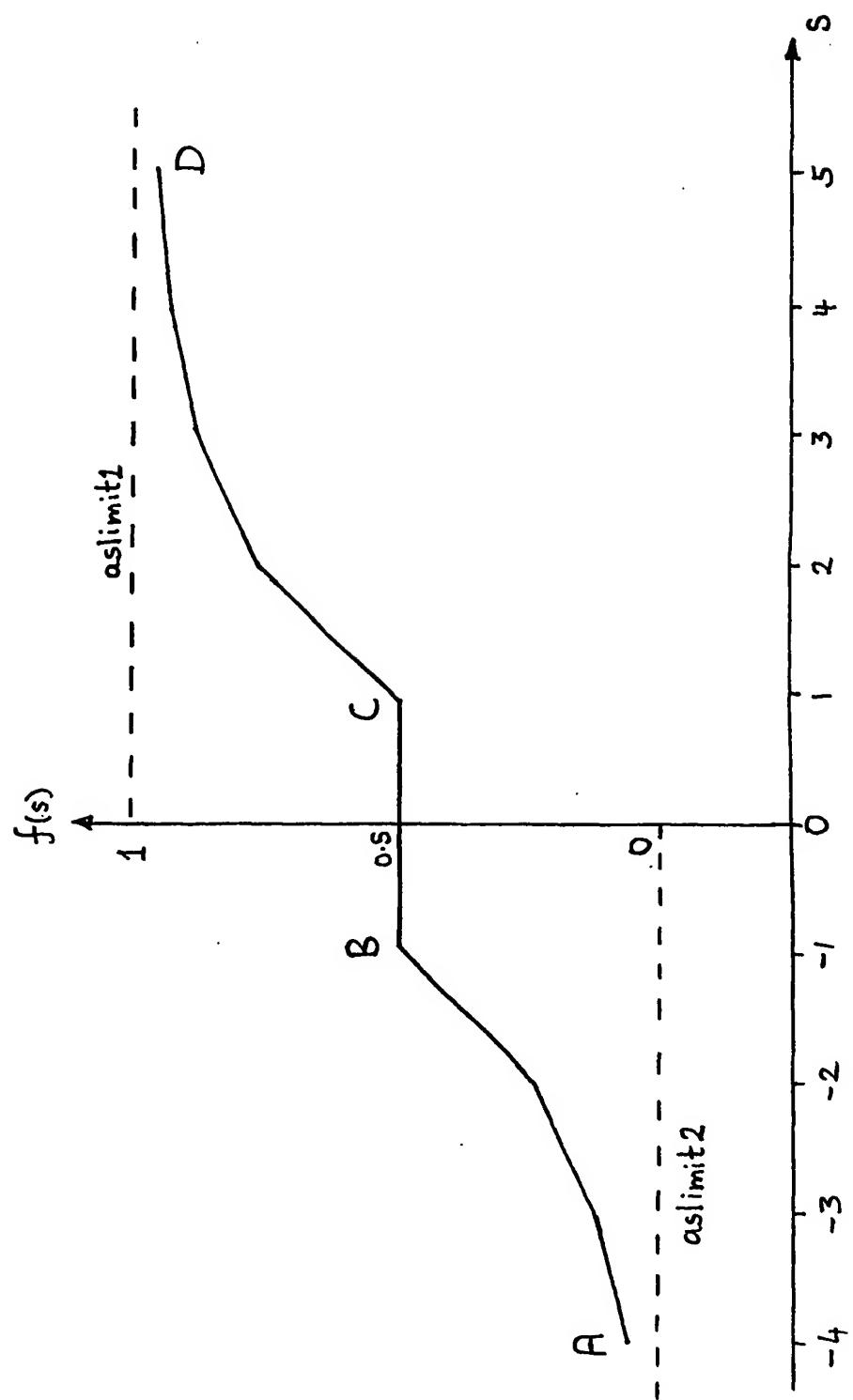


Figure 5